

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Friddle et al.

Application No.: 09/975,308

Filed: October 11, 2001

Title: Novel Human 7<sup>TM</sup> Proteins and  
Polynucleotides Encoding the Same

Attorney Docket No.: LEX-0252-USA

Group Art Unit: 1643

Examiner: To Be Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Attn: Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a copy of the original filing receipt for the above-captioned application, as corrected herein by Applicants. Please correct the title of the invention from "Novel Human 7<sup>TH</sup> Proteins and Polynucleotides Encoding the Same" to read as follows:

**"Novel Human 7<sup>TM</sup> Proteins and Polynucleotides Encoding the Same".**

Please make the appropriate corrections and issue a corrected Filing Receipt.

Applicants believe that no fee is due with this Request for Correction of Filing Receipt because the incorrect Title reflects a PTO error. However, please charge any required fee or credit any refund to Lexicon Genetics Incorporated Deposit Account No. 50-0892. A copy of this sheet is enclosed.

Respectfully submitted,

February 1, 2002  
Date

*Lance K. Ishimoto by David W. Higler* *David W. Higler*  
Lance K. Ishimoto Reg. No. 41866 *Reg. No. 41,071*

LEXICON GENETICS INCORPORATED  
(281) 863-3333



24231

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/975,308	10/11/2001	1643	0.00	LEX-0252-USA		2	2

CONFIRMATION NO. 6999

## FILING RECEIPT



\*OC00000007067342\*

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Carl Johan Friddle, The Woodlands, TX;  
Erin Hilbun, Houston, TX;  
Brenda Gerhardt, Spring, TX;  
Brian Mathur, The Woodlands, TX;  
D. Wade Walke, Spring, TX;  
C. Alexander Turner JR., The Woodlands, TX;

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NOV 20 2001

Per...mk.....

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/239,592 10/11/2000

## Foreign Applications

If Required, Foreign Filing License Granted 11/14/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes


Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

7TM  
Novel human ~~TM~~ proteins and polynucleotides encoding the same

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11/20/01 OF

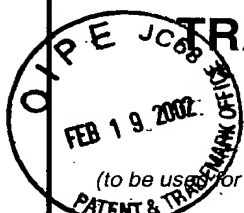
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PTO/SB/21 (08-00)


Approval for use through 10/31/2002. OMB 0651-0031

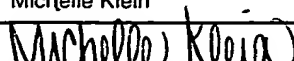
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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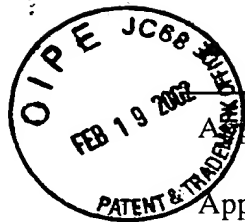
 <p><b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)</p>	<b>Application Number</b>	09/975,308	
	<b>Filing Date</b>	Oct 11, 2001	
	<b>First Named Inventor</b>	Friddle, Carl Johan	
	<b>Group Art Unit</b>	1643	
	<b>Examiner Name</b>	Not Yet Assigned	
<b>Total Number of Pages in This Submission</b>	19	<b>Attorney Docket Number</b>	LEX-0252-USA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Return Postcard - Part 2 of Notice to File Missing Parts - Executed Declaration - Request for Corrected File Receipt (orig & copy) - Copy of Corrected Original File Receipt
<b>Remarks</b> 4 sets of Declarations = 8 pages		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
<b>Firm or Individual name</b>	Lance K. Ishimoto, Reg. No. 41,866 Lexicon Genetics Incorporated	
<b>Signature</b>	Lance K. Ishimoto by David W. Hober Reg. No. 41,071	24231 PATENT TRADEMARK OFFICE
<b>Date</b>	February 1, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <b>February 1, 2002</b>			
<b>Typed or printed name</b>	Michelle Klein		
<b>Signature</b>		<b>Date</b>	February 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RECEIPT  
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Respectfully submitted,

February 1, 2002  
Date

*Lance K. Ishimoto by David W. Hager*  
Lance K. Ishimoto      David W. Hager  
Reg. No. 41866      Feb. No. 41,071

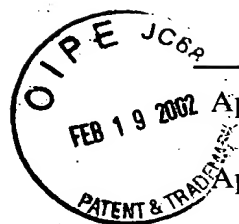
LEXICON GENETICS INCORPORATED  
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Group Art Unit: 1643

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Atty Docket No.: LEX-0252-USA

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231  
**Attention: Box Missing Parts**

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on November 14, 2001, Applicants submit the following documents to complete the filing requirements of the above-identified application:

1. Executed Declaration and Power of Attorney; and
2. Return copy of Notice to File Missing Parts of Application - Filing Date Granted.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

- |   |          |
|---|----------|
| 1. Statutory basic filing fee   | \$370.00 |
| 2. Surcharge Fee for filing declaration on a date later than the filing date of the application per 37 CFR 1.492(e) | \$65.00  |

**TOTAL \$435.00**

3. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,

February 1, 2002

Date

*Lance K. Ishimoto by David W. Hibler* <sup>DAVID W. HIBLER</sup>  
Reg. No. 41,071  
Lance K. Ishimoto Reg. No. 41,866

LEXICON GENETICS INCORPORATED

Enclosures



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PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,308	10/11/2001	Carl Johan Friddle	LEX-0252-USA

CONFIRMATION NO. 6999

## FORMALITIES LETTER



\*OC000000007067343\*

24231  
LEXICON GENETICS INCORPORATED  
4000 RESEARCH FOREST DRIVE  
THE WOODLANDS, TX 77381



Date Mailed: 11/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 TLW11 0000002 500892 09975306  
01 FC:201 370.00 CH  
02 FC:205 65.00 CH